

# Virtual reality based rehabilitation speeds up functional recovery of the upper extremities after stroke: a randomized controlled pilot study in the acute phase of stroke using the Rehabilitation Gaming System

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**Abstract. Purpose:** Given the incidence of stroke, the need has arisen to consider more self-managed rehabilitation approaches. A promising technology is Virtual Reality (VR). Thus far, however, it is not clear what the benefits of VR systems are when compared to conventional methods. Here we investigated the clinical impact of one such system, the Rehabilitation Gaming System (RGS), on the recovery time course of acute stroke. RGS combines concepts of action execution and observation with an automatic individualization of training.

**Methods.** Acute stroke patients ( $n = 8$ ) used the RGS during 12 weeks in addition to conventional therapy. A control group ( $n = 8$ ) performed a time matched alternative treatment, which consisted of intense occupational therapy or non-specific interactive games.

**Results.** At the end of the treatment, between-group comparisons showed that the RGS group displayed significantly improved performance in paretic arm speed that was matched by better performance in the arm subpart of the Fugl-Meyer Assessment Test and the Chedoke Arm and Hand Activity Inventory. In addition, the RGS group presented a significantly faster improvement over time for all the clinical scales during the treatment period.

**Conclusions.** Our results suggest that rehabilitation with the RGS facilitates the functional recovery of the upper extremities and that this system is therefore a promising tool for stroke neurorehabilitation.

**Keywords:** Acute stroke, rehabilitation, mirror neurons, virtual reality, Rehabilitation Gaming Station

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## 1. Introduction

In recent years the use of technology based neurorehabilitation approaches has increased to face the high demands due to the increasing numbers of stroke victims (Mathers and Loncar 2006; Kalra 2009). One of these technologies is Virtual Reality (VR) that promises the development of effective rehabilitation environments as it provides rich controllable multi-modal simulation and the possibility for individualization. A number of studies showed evidence of the positive benefits of such systems in the rehabilitation of the paretic upper limb after stroke (Cameirao et al., 2008; Lucca, 2009). However, the impact of VR based approaches on recovery is not fully understood and its advantages with respect to traditional neurorehabilitation methods has not yet been convincingly proven (Lucca, 2009).

To address this issue, here we explored one specific VR based system, the Rehabilitation Gaming System (RGS). RGS is based on the assumption that task oriented action combined with the observation of virtual limbs that reflect the executed movements facilitates the functional reorganization of the neuronal systems directly or indirectly affected by stroke and functional recovery (see (Cameirao et al., 2010) for an extended description of the key assumptions behind RGS and their scientific grounding). This paradigm is based on the human Mirror Neuron System (MNS), a system that is active during both goal-oriented action execution and action observation performed with a biological effector (Rizzolatti and Craighero, 2004; Mukamel, Ekstrom et al., 2010; Rizzolatti and Fabbri-Destro, 2010). Hence, RGS proposes, based on the MNS literature, that a direct transduction channel exists between the perception of action and its execution and that this channel can be used to drive effective reorganization after stroke. Indeed, a similar, but not VR based approach has been very successful in the treatment of aphasia (Pulvermuller, 2005). Further, RGS is based on theoretical work that has elucidated the relatively uniform statistical learning mechanisms of the neo-cortex and the critical dependence of learning on the specific statistical structure of inputs (Olshausen and Field, 1996; Wyss, Konig et al., 2006). With respect to exploiting this feature of cortical learning this can be reformulated in terms of the specific and parametric control of the sensorimotor contingencies the brain is exposed to (O'Regan and Noe, 2001). The execution and observation of goal-oriented movements provides sensory feedback of one's actions in terms of

movement patterns and movement outcomes, and such feedback has been shown to facilitate motor learning (Ungerleider et al., 2002; Krakauer, 2006). In addition, RGS exploits the phenomenon of behavioral feedback that proposes that the behavior dependent sampling of a sensory space optimizes learning (Verschure et al., 2003). Lastly, RGS is built around the notion of task dependent learning to exploit the role of neuromodulation in the regulation of plasticity (Sanchez-Montanes et al., 2000; Bao et al., 2001). Learning is tightly regulated by systems that relate to motivation and arousal [see for (Green and Bavelier, 2008) a review]. This implies that each user has to be encouraged to train at an optimal level of errors, avoiding boredom of only correct trials or the frustration of too many failures. For this reason RGS includes the, so called, Personalized Training Module (PTM), which adapts the task to the specific performance level of the user on a trial by trial basis to an average performance level of about 70% correct trials (Cameirao et al., 2010).

The version of RGS presented here engages the user with a game-like task, called Spheroids, that is based on the above principles. In Spheroids the user has to interact with upcoming spheres and perform specific movements from basic arm range movements, to grasping and object displacement, and release. Here we investigate the impact of RGS supported rehabilitation on the recovery time course after stroke in comparison to standard occupational therapy and general interactive gaming. The intervention was carried out in the acute/subacute stage of stroke during a 12 weeks period. Studies with VR in the acute stage after stroke are rare and little difference in motor function and disability between VR and conventional therapy has been found (Piron et al., 2005). However, taking into account that most of the plastic changes and consequent outcomes happen in the first few months after stroke (Kreisel et al., 2006; Murphy and Corbett, 2009), one would expect that rehabilitation during this period should be more effective. Consequently, it becomes extremely important to investigate whether an early treatment with VR may produce a change in recovery.

Our results suggest that the Rehabilitation Gaming System speeds-up the recovery of the deficits of the upper extremities, with particular emphasis on functional aspects related to the performance of the activities of daily living. This evidences the potential benefits for neurorehabilitation of using VR based systems that directly target the neuronal substrate of recovery through the MNS.

## 2.. Methods

### 2.1. Rehabilitation gaming system

The main elements of the Rehabilitation Gaming System (RGS) (Fig. 1) are: the vision based Analysis and Tracking System (AnTS) (Cameirao et al., 2010) that captures upper limb movements through color detection; two data gloves to capture finger flexure (SDT, Fifth Dimension Technologies, Johannesburg, South Africa); an intelligent controller, the Personalized Training Module (PTM) that adapts online the difficulty of the task to the performance of the user; and a virtual environment where an avatar mimics the movements of the user. In the scenario considered here, Spheroids, the user had to interact with approaching flying spheres controlled by parameters such as speed, range of movement and time interval between spheres. These parameters define the difficulty of the task. The training sessions were preceded by two versions of a

calibration task, the same task being performed both in the physical and in the virtual environment (Cameirao et al., 2010). In this task patients were asked to move their arms in random sequences to specific positions on the tabletop. In the virtual version, the task was to be performed with the virtual arms moving on a virtual table. This task allowed measuring specific properties of movements such as speed and range of movement, and established the baseline of task difficulty level for every session. Following the baseline calibration, the PTM autonomously defined the baseline difficulty of the Spheroids task. During the training, each new difficulty setting was computed taking into account the previous responses of the user. The difficulty was increased when the user intercepted more than 70% of the spheres; and was decreased if the user intercepted less than 50% of the spheres (Fig. 1). This allowed a continuous adaptation of the game parameters to the user's performance. Moreover, individualization was realized for each arm separately.

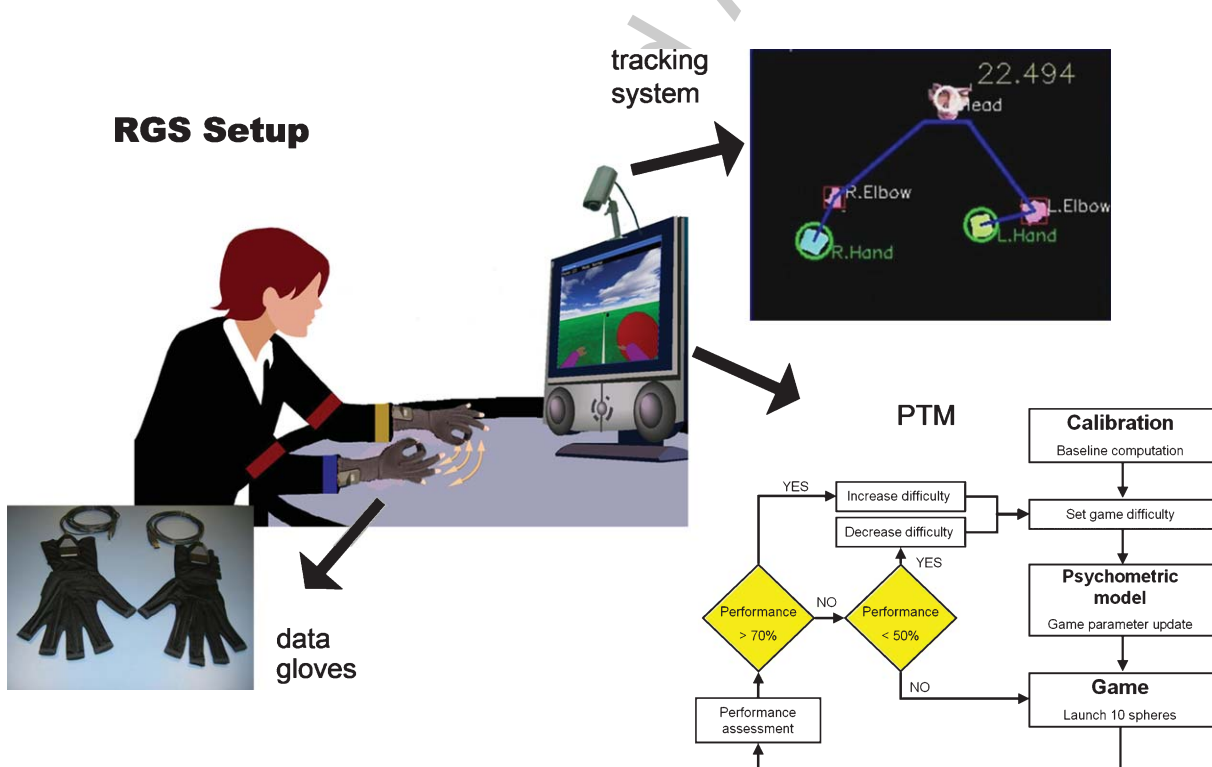


Fig. 1. The Rehabilitation Gaming System. The subject works with his/her arms on a cut-out table facing a computer screen. The movements of the arms are captured by a vision based tracking system that detects color patches positioned on the wrists and elbows, and finger bending is measured by data gloves. The captured movements are mapped in real time to the movements of two virtual arms that mimic the movements of the user on the display. In the virtual reality task, Spheroids, approaching spheres have to be intercepted, grasped or placed. The difficulty of the task is adjusted to the user by an intelligent controller, the Personalized Training Module (PTM) that measures the number of successful events and adapts the difficulty accordingly for the next trial (Cameirao, Bermúdez i Badia et al., 2010).

The sessions followed a structured training protocol with tasks of increasing complexity (Hitting, Grasping, and Placing) that train speed and range of movement, grasp and release respectively.

## 2.2. Subjects and protocol

Subjects were acute stroke patients admitted to the Physical Medicine and Rehabilitation unit of the Hospital de L'Esperança in Barcelona. Out of 142 patients admitted between November 2007 and January 2009, 25 (18%) satisfied the inclusion criteria to participate in the study. The inclusion criteria were: first episode stroke, acute stroke within three weeks post-stroke at baseline, severe to moderate deficit of the paretic upper extremity ( $2 \leq \text{MRC} \leq 3$ ) (MRC, 1976), no severe to moderate aphasia (Rosselli et al., 1990), no other cognitive deficits as assessed by the Mini-Mental State Examination (Folstein et al., 1975), cooperation, and age  $\leq 80$  years.

After giving their informed consent, patients were randomly assigned to the RGS ( $n=13$ ) or to the Control group, consisting of either Intense Occupational Therapy (IOT,  $n=6$ ) or Non-Specific interactive Games (NSG,  $n=6$ ) using a standard game console.

All patients received standard occupational and physical rehabilitation plus the added treatment condition. The patients underwent extended clinical assessment at admittance (baseline), weeks 5, 12 (end of treatment), and 24 (follow-up). The study followed accepted guidelines and was approved by the ethics committee of clinical research of the Parc de Salut Mar.

Out of the original 25 patients selected for the study, one refused to participate and five patients left the study before the week 5 evaluation due to external reasons not related to the treatment (four moved to a different institution and one dropped all rehabilitation). The remaining 19 patients (RGS = 10, 5 males,  $63 \pm 11$  years; Control = 9 (IOT = 5, 3 males,  $59 \pm 11$  years; NSG = 4, 1 male,  $58 \pm 14$  years)) completed the study at least up to week 5 (Table 1). We had missing evaluations for four patients at week 12 and 24: two dropped all rehabilitation half-way the study, one moved to a different institution, and the other one had a second stroke.

## 2.3. Treatment

In addition to standard rehabilitation, patients had three weekly sessions of 20 minutes each of a given

Table 1  
Demographic information of the patients enrolled in the study

Group	ID	Age	Gender	Education	Neurological deficit (NIHSS)	Days after stroke at baseline	Type of stroke	Infarct classification	Side of lesion
RGS	1	79	F	E	13	18	C	TACI	R
	2	60	F	E	4	4	H	-	R
	3	67	M	M	6	9	A	POCI	R
	4	55	M	E	6	13	A	POCI	R
	5	76	M	M	7	16	A	LACI	L
	6	79	F	E	4	7	U	POCI	L
	7	50	F	E	5	8	U	LACI	L
	8	52	M	E	7	19	H	TACI	R
	9	50	F	M	6	13	C	PACI	R
	10	69	M	E	4	8	A	PACI	R
Control IOT	1	66	F	M	7	15	C	LACI	L
	2	54	M	M	8	14	H	-	L
	3	47	M	M	6	22	C	TACI	R
	4	56	M	E	11	11	A	PACI	R
	5	74	F	E	5	22	A	TACI	R
Control NSG	1	65	F	E	2	7	A	LACI	L
	2	37	F	E	6	12	H	-	L
	3	65	M	M	6	18	A	TACI	R
	4	65	F	E	6	15	A	POCI	R

Control: IOT=Intense Occupational Therapy and NSG=Non-Specific Games. Gender: M=male and F=female. Education level: E=elementary and M=medium. Type of stroke (Adams, Bendixen et al., 1993): A=atherosclerotic, C=cardioembolic, H=hemorrhagic and U=undetermined. Infarct classification (Bamford, Sandercock et al., 1991): TACI=total anterior circulation infarct, PACI=partial anterior circulation infarct, POCI=posterior circulation infarct and LACI=lacunar infarct. Lesion side: L=left and R=right.

213 treatment condition (RGS or Control). Patients in  
214 the intervention group performed the Spheroids tasks  
215 (Hitting, Grasping, and Placing) introduced gradually  
216 during the treatment period. The Control group was  
217 split in two subgroups to control different aspects of  
218 the intervention. The IOT subgroup carried out pure  
219 extended occupational therapy with emphasis on motor  
220 tasks similar to the ones promoted by the RGS, namely  
221 object displacement, and object grasp and release, but  
222 without the action observation component. To control  
223 for placebo effects such as computer use and game  
224 specific effects, and also for the effect of observing  
225 the virtual arms during the task, patients allocated to  
226 the NSG subgroup performed games with the Wii sys-  
227 tem (Nintendo, Tokyo, Japan) that required movements  
228 with the paretic arm that did not show any virtual body  
229 in response to their actions. i.e., this control had in com-  
230 mon with the RGS group the gaming features, but did  
231 not share the neuroscientific hypotheses on recovery  
232 based on an action observation paradigm. All patients  
233 in the Control group performed the RGS calibration  
234 task once per week for between-group comparisons.

#### 235 2.4. Outcome measures

236 The clinical assessment was performed at baseline,  
237 weeks 5, 12, and 24 (follow-up). The evaluators were  
238 blind to the assignment of each subject to either the  
239 RGS or the Control group. A number of standard clinical  
240 scales were used to assess different aspects of motor  
241 deficits and function: Barthel Index (Granger, Albrecht  
242 et al., 1979) for independence in activities of daily liv-  
243 ing, Medical Research Council Grade (MRC, 1976)  
244 and Motricity Index (Demeurisse et al., 1980) (upper  
245 extremities) for muscle strength, Fugl-Meyer Assess-  
246 ment Test (upper extremities) for motor and joint  
247 functioning (Fugl-Meyer et al., 1975), and Chedoke  
248 Arm and Hand Activity Inventory (CAHAI) (Barreca  
249 et al., 2004) for the functional assessment of the paretic  
250 arm and hand.

251 The Rehabilitation Gaming System calibration task  
252 allowed us to extract information in terms of speed  
253 for both RGS and Control group. In addition, specif-  
254 ically for the RGS group, from the training session,  
255 we measured game related events such as success-  
256 ful/unsuccessful trials and difficulty level reached for  
257 both the paretic and nonparetic arm.

258 To assess patients' subjective opinions with respect  
259 to a number of aspects of the treatment with RGS  
260 such as enjoyment, understanding and ease of the

261 task, patients in the RGS group were given a short  
262 self-report questionnaire at the end of the treatment.  
263 This questionnaire was presented in the format of a  
264 5-point Likert scale and patients had to report their  
265 agreement/disagreement with respect to a number of  
266 statements.

#### 267 2.5. Data analysis

268 It has been reported that recovery following stroke  
269 shows a non-linear logarithmic pattern, with a faster  
270 improvement in the first weeks post-stroke followed by  
271 smaller improvements at later stages (Kwakkel et al.,  
272 2006). In order to correct for this effect, we fitted a log-  
273 arithmic curve to the individual clinical measures at the  
274 different measurement points and assessed the strength  
275 of this relation by extracting the squared correlation  
276 coefficient,  $R^2$  (Fig. 2). In addition, this logarithmic fit  
277 allowed us to estimate missing data, meaning that we  
278 had the same number of samples for analysis at each  
279 point of measurement. For each scale, for the entire  
280 group of patients, we computed the median  $R^2$  and  
281 checked the presence of statistical outliers. Median  $R^2$   
282 was of 0.8544 for the Barthel Index, 0.9080 for the  
283 Motricity Index, 0.8410 for the upper extremities Fugl-  
284 Meyer Score (0.8120 for the arm part and 0.8240 for the  
285 wrist/hand part), and 0.8920 for the Chedoke Arm and  
286 Hand Activity Inventory. We excluded from the analy-  
287 sis patients that were statistical extreme outliers (values  
288 that are more than 3 times the interquartile range above  
289 the 75th percentile or below the 25th percentile) in  
290 two or more clinical scales. This led to the removal of  
291 Patients 5 and 9 in the RGS group and of Patient 2 in  
292 NSG subgroup. The dissimilar pattern of recovery of  
293 these patients is in accordance with observed personal  
294 and clinical circumstances that interfered with the nor-  
295 mal progress of these patients during the rehabilitation  
296 process.

297 In order to have an unbiased assessment of the rela-  
298 tionship between groups (RGS, IOT and NSG) in the  
299 clinical scores, we performed a Principal Components  
300 Analysis (PCA) that allowed us to investigate the struc-  
301 ture of the data over the groups of patients over all  
302 the clinical scales at the end of treatment. We com-  
303 puted the improvements with respect to baseline in the  
304 clinical scores at the end of the treatment and standard-  
305 ized the data by dividing each data set by its standard  
306 deviation. We then performed the PCA, extracted the  
307 principal components scores, and calculate the per-  
308 cent of the total variability explained by each principal

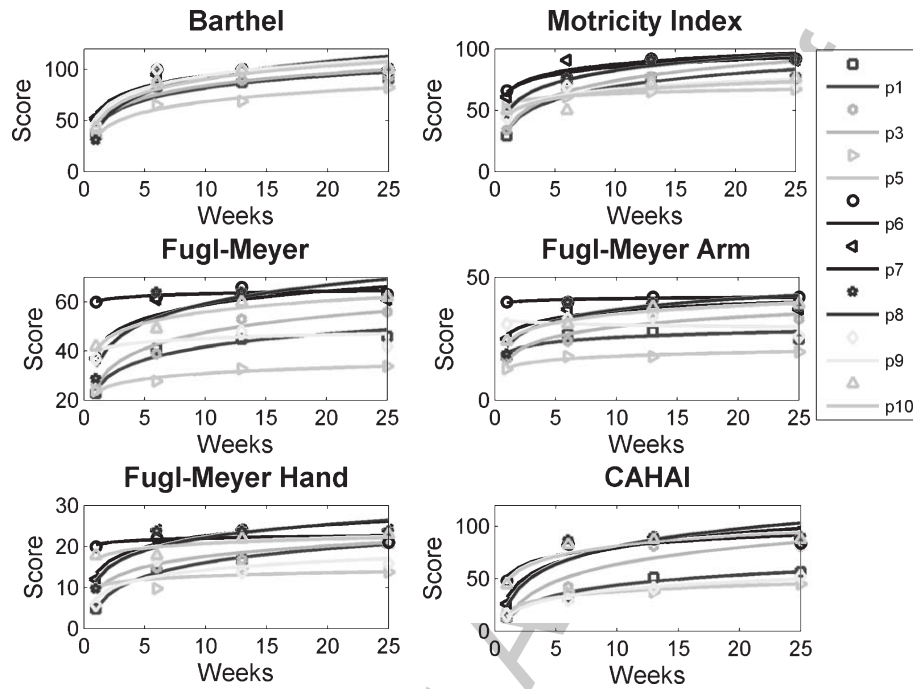


Fig. 2. Time course of improvement at the standard clinical scales. A logarithmic fit has been performed to capture the trend over time. The shown data is from patients in the RGS group with complete clinical evaluations at all time steps.

component. Finally, we performed a between-group comparison on the principal components scores using a two-tailed Mann-Whitney test.

In order to correct for individual differences between participants we computed the *Normalized Improvement* (Eq. 1), which represents the improvement normalized to the total amount that each individual can gain with respect to their baseline.

$$\text{Normalized Improvement}_{i,j} = \left[ 1 - \frac{(\text{MaxScale}_j - X_i)}{(\text{MaxScale}_j - X_0)} \right] \times 100, \quad i = 1, 2, \dots$$

where  $X_i$  is a given measure of the scale  $j$  at time  $i$ .  $X_0$  represents baseline.

In order to check balance between groups, the absolute baseline measures were statistically compared using the chi-squared test for categorical data, and a 2-tailed independent samples  $t$ -test or a Mann-Whitney test for quantitative data. The normality of the distribution was assessed using a single sample Lilliefors hypothesis test of composite normality. To compare the intervention and the control group over time (baseline, end of treatment and follow-up) we performed a repeated measures ANOVA, with time as

the within-subject variable and group as the between-subject variable. The between-group comparisons of the normalized improvements at different time points were performed using a 1-tail Mann-Whitney test. For within-group comparisons we used a 2-tail Wilcoxon signed ranks test.

In the analysis of the RGS data, we extracted the weekly average (relative to baseline) of the paretic arm speed in the calibration task for both groups of patients (see methods). The speed time series was smoothed using a moving average with a span of two weeks and to show the trend over time we included a logarithmic fit (see methods). To compare the intervention and the control group over time we performed a Time  $\times$  Group repeated measures ANOVA, and used a 1-tail Mann-Whitney test for between-group comparisons at time points.

To analyze the evolution of the paretic arm in the Spheroids task, for the RGS group, we extracted the maximum difficulty reached during each session of the Hitting/Grasping task (eight weeks period) and averaged it over periods of two weeks, separately for paretic and nonparetic arms. We computed the difference in difficulty between both arms and removed the statistical outliers at every week (values that are more than

1.5 times the interquartile range above the 75th percentile or below the 25th percentile). We used a 2-tail Wilcoxon signed rank test to compare both arms at each point in time.

Data is expressed as mean  $\pm$  standard deviation in the text and tables, unless otherwise stated. For all statistical comparisons the significance level was set to 5% ( $p < 0.05$ ). The statistical Power ( $1-\beta$  error probability) as been computed assuming 0.05 alpha and using non-parametric Mann-Whitney tests (Faul, Erdfelder et al., 2007). All statistical analysis was done using MATLAB (version 2008 a) and SPSS (version 16.0).

### 3. Results

#### 3.1. Outcome measures

In order to have an unbiased assessment of the differences between the RGS group and, the Intense Occupational Therapy and Non-Specific interactive Games control subgroups, we performed a PCA of the clinical improvements at the end of treatment for all groups. The six principal components (PCs) explained 66.21%, 16.03%, 9.30%, 5.00%, 3.44% and 0.01% of the variability of the data, respectively. We observed the existence of a similar recovery pattern for both control interventions, and of a different one for the RGS group. This was particularly salient in the third principal component. The between-group comparisons of the PCs showed no significant differences between the control subgroups for any of the PCs (Mann-Whitney,  $p > 0.05$ , Power ( $1-\beta$ ) ranging from 0.05 to 0.39). However, we found a significant difference between the RGS group and both control subgroups (Mann-Whitney, *RGS-IOT*:  $Z = -2.635$ ,  $p < 0.01$ ,  $p(1-\beta) = 0.90$ ; *RGS-NSG*:  $Z = -2.245$ ,  $p < 0.05$ ,  $p(1-\beta) = 0.64$ ) for the third PC (Fig. 3). Therefore, taking into account that both control subgroups were statistically indistinguishable from each other while being different from the RGS group, we merged them, the consequent increase of sample size enhancing the statistical power of our analysis. Also further between group comparisons of control subgroups of the improvement at the different clinical scales were not significant (data not shown).

Baseline balance between groups was confirmed for all demographic and clinical measures except for the Fugl-Meyer Assessment Test. The RGS group had a higher score in this measure due to differences in the wrist/hand subpart of the test (Table 2).

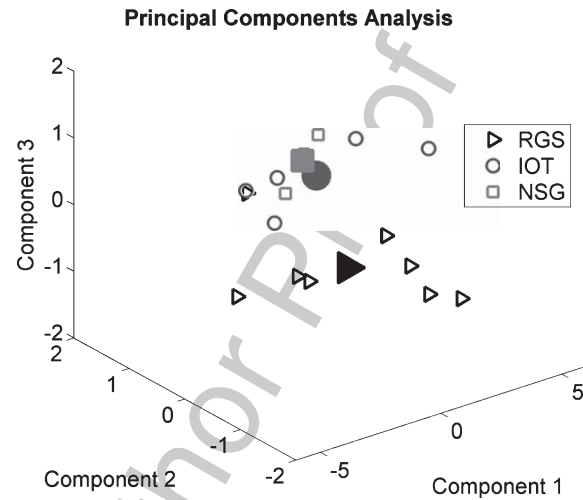


Fig. 3. Structure of the clinical scores of the subjects using a Principal Components Analysis. Representation of the three first Principal Components (PC, 91.56% of variability explained) of the clinical scores at the end of treatment for the RGS group (black), and the control IOT (dark grey) and NSG (light grey) subgroups. The solid markers indicate the centroids (mean) of the distributions for each group.

Table 2  
Baseline clinical measures

Variable	RGS (n=8)	Control (n=8)	p-value
Barthel Index (max=100)	42.1 $\pm$ 6.8	45.6 $\pm$ 14.1	0.537 (T)
MRC (2/3)	4/4	4/4	1.000 ( $\chi^2$ )
Motricity Index (max=99)	52.2 $\pm$ 15.8	42.7 $\pm$ 17.7	0.277 (T)
Fugl-Meyer (max=66)	37.9 $\pm$ 12.1	24.4 $\pm$ 11.4	0.038 (T)
Arm (max=42)	24.8 $\pm$ 7.7	18.0 $\pm$ 7.1	0.090 (T)
Wrist/Hand (max=24)	13.1 $\pm$ 5.0	6.4 $\pm$ 4.6	0.015 (M)
CAHAI (max=91)	29.5 $\pm$ 15.1	24.5 $\pm$ 12.9	0.528 (M)

The categorical variables are expressed in terms of the ratio of cases and the quantitative variables are mean  $\pm$  standard deviation. For the p-value, the text in brackets denotes the statistical test that was used for the comparison (T = independent samples t-test, M = Mann-Whitney Test,  $\chi^2$  = chi squared test).

In the comparison of arm speed between groups in the RGS calibration task, the Time  $\times$  Group repeated measures ANOVA revealed a significant main effect for Time ( $F(3.70, 44.36) = 5.10$ ,  $p < 0.01$ , partial eta squared = 0.298) and Group ( $F(1, 12) = 6.08$ ,  $p < 0.05$ , partial eta squared = 0.336). The Time  $\times$  Group interaction was leaning towards significance ( $F(3.70, 44.36) = 2.59$ ,  $p = 0.053$ , partial eta squared = 0.178). Concerning the evolution of speed over time, the RGS showed higher improvements in the paretic arm speed when compared to the control group, and these were



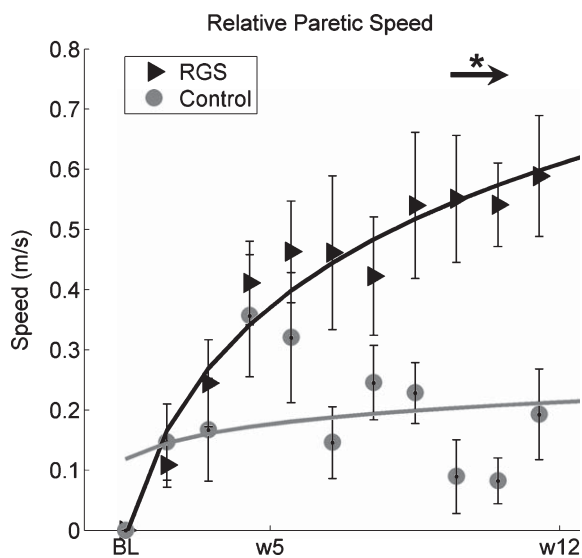


Fig. 4. Speed of the paretic arm over time as measured in the calibration task. Relative average speed (mean  $\pm$  standard error of the mean) over time for RGS (black) and control (grey) groups. The time series are fitted with logarithmic curves. The arrow indicates the period when the difference between groups starts to be systematically significant, Mann-Whitney test,  $*p < 0.05$ .

systematically significant after the 9th week of treatment (Mann-Whitney,  $p < 0.05$ ) (Fig. 4). Although the control group showed a steep improvement during the first few weeks, it stabilized after week 5, approximately. This was not the case for the RGS group, which displayed a sustained improvement following a well defined logarithmic pattern ( $R^2 = 0.95$ ).

In the analysis of the specific clinical outcomes assessed by the different clinical scales, the 3(Time)  $\times$  2(Group) repeated measures ANOVA revealed a significant main effect for Time for all the clinical measures (*Barthel Index*:  $F(1.35, 18.89) = 705.54$ ,  $p < 0.001$ , partial eta squared = 0.981; *Motricity Index*:  $F(1.45, 20.33) = 205.96$ ,  $p < 0.001$ , partial eta squared = 0.936; *Fugl-Meyer*:  $F(2, 28) = 177.51$ ,  $p < 0.001$ , partial eta squared = 0.927; *Fugl-Meyer Arm subpart*:  $F(1.18, 16.52) = 145.31$ ,  $p < 0.001$ , partial eta squared = 0.912; *Fugl-Meyer Wrist/Hand subpart*:  $F(2, 28) = 96.90$ ,  $p < 0.001$ , partial eta squared = 0.874; *CAHAI*:  $F(1.32, 18.49) = 388.86$ ,  $p < 0.001$ , partial eta squared = 0.965). We found no significant main effect for Group at any measure. However, a significant Time  $\times$  Group interaction was found for the CAHAI ( $F(1.32, 21.13) = 4.09$ ,  $p < 0.05$ , partial eta squared = 0.226). In addition, the between-subject comparisons of the normalized improvements

at different points in time showed that at the end of the treatment (week 12) the RGS group is significantly better for the arm subpart of the Fugl-Meyer Assessment Test and for the CAHAI, and that this difference was leaning towards significance for the Motricity Index (Table 3). Although the RGS group always showed higher average improvements over time, we found no further significant differences between the groups. Both groups showed significant improvements between baseline and weeks 5 for all the clinical scales. Between weeks 5 and 12, the RGS group improved significantly at all measures (Wilcoxon, *Barthel Index*:  $Z = -2.023$ ,  $p < 0.05$ , *Motricity Index*:  $Z = -2.201$ ,  $p < 0.05$ , *Fugl-Meyer*:  $Z = -2.201$ ,  $p < 0.05$ , *Fugl-Meyer Arm subpart*:  $Z = -2.201$ ,  $p < 0.05$ , *Fugl-Meyer Wrist/Hand subpart*:  $Z = -2.023$ ,  $p < 0.05$ , *CAHAI*:  $Z = -2.521$ ,  $p < 0.05$ ), while the control group only improved significantly at the Barthel Index (Wilcoxon,  $Z = -2.201$ ,  $p < 0.05$ ) and the CAHAI (Wilcoxon,  $Z = -2.366$ ,  $p < 0.05$ ). This indicated that the RGS group showed a steeper improvement over time during the treatment period (Fig. 5). No significant improvements were found between week 12 and follow-up for both groups. In summary, the RGS presented on average higher scores at the different points in time, and displayed a sustained faster improvement when compared to the control group.

Finally, we wanted to investigate how accurately the RGS task captured the functional level of the user over time and adjusted the difficulty. The analysis of the maximum difficulty reached over time for the RGS group showed that, as expected, the paretic arm always reached lower levels of difficulty when compared to the nonparetic arm (Fig. 6). However, the paretic arm tended to converge towards the performance of the nonparetic arm during the treatment period. Indeed, the difficulty reached is significantly different between arms at week 2 (Wilcoxon,  $Z = -2.380$ ,  $p = 0.05$ ) and at week 4 (Wilcoxon,  $Z = -2.240$ ,  $p < 0.05$ ), and stopped to be significantly different after the 6th week of treatment (Wilcoxon,  $p > 0.05$ ). These results show that the RGS captured the functional state of the subject over time and that it autonomously generated the difficulty level accordingly during each session.

### 3.2. Acceptance and satisfaction

In order to assess the acceptance level of the treatment and the overall satisfaction concerning the use of RGS, patients that performed the entire treat-



Table 3  
Normalized improvement at time points

Variable	Week 5			Week 12			Follow-up		
	RGS ( <i>n</i> = 8)	Control ( <i>n</i> = 8)	p/P(1-β)	RGS ( <i>n</i> = 8)	Control ( <i>n</i> = 8)	p/P(1-β)	RGS ( <i>n</i> = 8)	Control ( <i>n</i> = 8)	p/P(1-β)
Barthel	87.6 ± 11.2	81.0 ± 19.4	0.287/0.192	94.9 ± 8.9	88.0 ± 17.8	0.221/0.231	96.3 ± 6.3	92.9 ± 7.1	0.221/0.240
Motricity	52.4 ± 30.0	51.4 ± 22.5	0.253/0.057	73.6 ± 16.1	60.2 ± 20.0	0.052/0.391	81.3 ± 15.9	66.3 ± 20.9	0.065/0.442
Fugl-Meyer	62.0 ± 30.9	55.6 ± 22.1	0.439/0.115	84.6 ± 18.4	66.9 ± 22.9	0.065/0.474	79.1 ± 19.0	72.0 ± 18.8	0.252/0.172
Arm	57.1 ± 36.2	52.9 ± 25.7	0.439/0.081	83.6 ± 19.7	62.3 ± 23.0	0.032/0.597	78.7 ± 24.3	64.6 ± 25.3	0.139/0.277
Wrist/Hand	63.0 ± 36.5	59.1 ± 22.3	0.322/0.080	85.0 ± 21.3	70.6 ± 32.2	0.191/0.253	85.7 ± 25.5	81.5 ± 12.7	0.080/0.104
CAHAI	72.7 ± 26.5	46.5 ± 29.6	0.065/0.533	90.2 ± 17.0	70.6 ± 18.2	0.025/0.662	89.6 ± 14.9	81.9 ± 12.3	0.080/0.275

The normalized improvements are expressed as mean ± standard deviation. A 1-tail Mann-Whitney test was used for the statistical comparisons. P(1-β) is the Power (1-β error probability) assuming 0.05 alpha and 1-tail Mann-Whitney test.

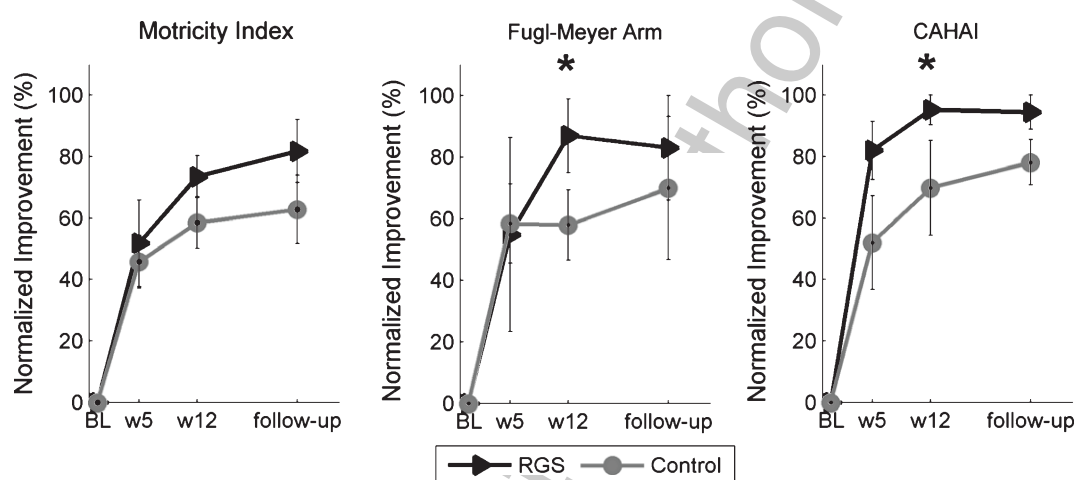


Fig. 5. Normalized improvement over time for the Motricity Index, the arm subpart of the Fugl-Meyer Assessment Test and the Chedoke Arm and Hand Activity Inventory. Improvement (median ± median absolute deviation) for RGS (black) and control (gray) groups for selected clinical scales. \**p* < 0.05, between-group comparison.

487 ment period with RGS (*n* = 8) were given a succinct  
 488 self-report 5-point Likert questionnaire at the end of  
 489 treatment. Statements could be rated as 1 (strongly dis-  
 490 agree), 2 (disagree), 3 (neither agree nor disagree), 4  
 491 (agree) or 5 (strongly agree). This allowed us assessing  
 492 a number of aspects such as enjoyment, understanding and  
 493 ease of the task. In addition, patients were also  
 494 asked if they would like to continue the treatment with  
 495 RGS. In terms of enjoyment, to the statement “The task  
 496 was entertaining”, the average rating was 4.5. To the  
 497 statement “The task was too long”, the average rating  
 498 was 1.2. In terms of clarity and difficulty in using the  
 499 system, to the statement “The task was easy to under-  
 500 stand”, the average rating was 4.9. To the statement “It  
 501 was difficult to control the virtual arms”, the average  
 502 rating was 2.1. Finally, as a measure of overall satis-  
 503 faction, to the statement “I would like to continue this  
 504 treatment”, the average rating was 4.4. Based on these

505 results and as an overall analysis we feel confident to  
 506 conclude that the acceptance of the RGS and its tasks  
 507 was very high.

#### 508 4. Discussion

509 We have investigated the impact of a novel VR based  
 510 rehabilitation paradigm, the Rehabilitation Gaming  
 511 Station, on the functional recovery of deficits of the  
 512 upper extremities of acute/subacute stroke patients.  
 513 Our results indicate that the RGS group followed a  
 514 substantially different pattern of recovery when com-  
 515 pared to the control group. We observed that at the end  
 516 of the treatment the RGS group performed better as  
 517 compared to controls in both the speed of the paretic  
 518 arm and the scores on a number of clinical scales.  
 519 In addition, the RGS group presented a significantly

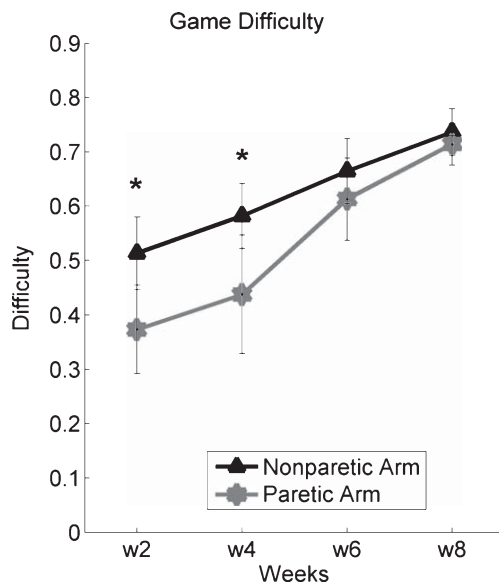


Fig. 6. Game difficulty. Biweekly average of the difficulty level reached during the Hitting/Grasping task in the Spheroids game (mean  $\pm$  standard error of the mean) for paretic (grey) and nonparetic (black) arms. The difficulty level goes up to a maximum of 1.0. \* $p < 0.05$ , pairwise comparison.

520 faster improvement over time for all the clinical scales  
 521 during the treatment period. Specifically, in the evolu-  
 522 tion over weeks of the average paretic arm speed in  
 523 the RGS calibration task, the RGS group showed in  
 524 general a higher movement speed when compared to  
 525 the control group, and there was a statistically signifi-  
 526 cant difference after the 9th week of treatment. This  
 527 could be related to the fact that higher arm speed was  
 528 required in order to accomplish higher difficulty levels  
 529 in the RGS tasks. Therefore RGS patients were indi-  
 530 rectly developing higher movement speed skills. In the  
 531 analysis of detailed clinical outcomes assessed by stan-  
 532 dard clinical evaluation at the different time stages,  
 533 patients allocated to the RGS group showed in gen-  
 534 eral higher improvements and these were particularly  
 535 salient at the end of the treatment. Specifically, the  
 536 between group difference was statistically significant  
 537 for the arm subpart of the Fugl-Meyer Assessment Test  
 538 and for the Chedoke Arm and Hand Activity Inven-  
 539 tory. Hence, RGS supported rehabilitation seems to  
 540 have a particular impact on the recovery of proximal  
 541 movements and on the ability to perform functional  
 542 activities of daily living. Since the RGS promotes prox-  
 543 imal and distal movements, we would also expect to  
 544 have a significant impact at the hand subpart of the  
 545 Fugl-Meyer Test, but this was not the case. We have

546 two possible explanations for this. First, it could be due  
 547 to imbalance at baseline for this specific measure. Sec-  
 548 ond, although RGS trains finger grasping and release,  
 549 there are only virtual objects to be grasped and the  
 550 patient had no physical contact with them. Therefore,  
 551 there was no sensory information on the effectiveness  
 552 of this movement. This may indicate the need to incor-  
 553 porate a graspable object preferably coupled with a  
 554 haptic interface to provide sensorimotor feedback and  
 555 increase the ecological validity of the task (Levin et al.,  
 556 2009). To address this issue, we are currently develop-  
 557 ing an updated version of the RGS that integrates a  
 558 haptic interface that provides sensorimotor feedback  
 559 during the task.

560 Newer technology driven rehabilitation strategies  
 561 such as robotics, functional electrical stimulation and  
 562 transcranial magnetic stimulation have shown so far  
 563 good outcomes at the movement level but with poor  
 564 outcomes at the functional performance of activities  
 565 of daily living (ADL) (Mehrholz et al., 2008; O'Dell  
 566 et al., 2009). In contrast, in our study the RGS group  
 567 showed a considerable improvement at the perform-  
 568 ance of ADLs, as measured by the Chedoke arm  
 569 and hand activity inventory. We believe that the main  
 570 contributing factor of RGS to this functional impact is  
 571 its theoretical rationale that aims at tackling the cen-  
 572 tral nervous system, as opposed to emphasizing the  
 573 manipulation of the peripheral skeleton-motor system.  
 574 However, given the lack of additional imaging data to  
 575 confirm this fact, this has to be interpreted with cau-  
 576 tion as we cannot exclude other potentially beneficial  
 577 factors such as the effect of treatment personalization  
 578 and the adaptive nature of the system to sustain perfor-  
 579 mance and motivation. In addition, further experiments  
 580 are required to fully assess this.

581 The clinical scores over time showed that, although  
 582 we observed significant group differences at the end of  
 583 treatment, this significance was lost at follow-up (12  
 584 weeks after the end of the treatment). This could mean  
 585 that rehabilitation with RGS predominantly accelerates  
 586 recovery following stroke. Indeed, our results showed  
 587 that only the RGS group improved significantly at all  
 588 clinical scales, systematically from baseline to week  
 589 5 of treatment and from week 5 to end of treatment.  
 590 i.e., the RGS group presented a steeper improvement  
 591 over time during the treatment period. On the basis  
 592 of this result it is important to investigate if the RGS  
 593 just speeds-up recovery or if it could more markedly  
 594 enhance recovery if we increase the intensity of the  
 595 treatment and/or the longitudinal time duration of the

intervention. We are currently running clinical trials that address the relationship between treatment intensity and duration. In addition, it is important to further assess the impact of VR on the early stages of stroke. Most plastic changes occur during this period and therefore recovery could be possibly maximized (Murphy and Corbett, 2009).

Finally, we showed that the RGS was able to capture the functional dissimilarities between paretic and nonparetic arms and adapted the difficulty of the task accordingly. In this way we provide an autonomous adaptable training regime that is directed towards the individual needs and capabilities of the patients. In addition, this results in higher levels of motivation and compliance with the treatment as shown by the results of our acceptance study. Indeed, the opinion of the patients that used the RGS shows that the majority would like to continue therapy with the RGS.

Our results indicate that rehabilitation with the Rehabilitation Gaming System facilitates the functional recovery of the upper extremities in the acute phase of stroke. Although our results are exciting, this study has as limitation the small size of the sample and further testing is needed with larger populations of patients. Moreover, brain imaging methods should be used to assess the specific benefits of RGS at the level of cortical reorganization. Despite these limitations, our results show promise in terms of the benefits provided by the RGS for the neurorehabilitation of motor deficits following stroke.

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